



Controller Betty T. Yee

California State Controller's Office

Unclaimed Property Division

Standard NAUPA II Electronic File Format Codes & Dormancy Periods

The following tables represent the NAUPA (National Association of Unclaimed Property Administrators) Standard information used by California.
Dormancy periods are listed in years unless otherwise indicated

Property Type Codes

Accounts		Years Dormant
AC01	Checking Accounts	3
AC02	Savings Accounts	3
AC03	Mature CD or Save Cert	3
AC04	Christmas Club Funds	3
AC05	Money on Deposit to Secure Fund	3
AC06	Security Deposit	3
AC07	Unidentified Deposit	3
AC08	Suspense Accounts	3
AC99	Aggregate Account Balances	3

Checks		Years Dormant
CK01	Cashier's Checks	3
CK02	Certified Checks	3
CK03	Registered Checks	3
CK04	Treasurer's Checks	3
CK05	Drafts	3
CK06	Warrants	3
CK07	Money Orders/Electronic Transfers with a Written Instrument	7
CK08	Traveler's Checks	15
CK09	Foreign Exchange Checks	3
CK10	Expense Checks	3
CK11	Pension Checks	3
CK12	Credit Checks or Memos	3
CK13	Vendor Checks	3
CK14	Checks Written off to Income	3
CK15	Other Outstanding Official Checks	3
CK16	CD Interest Checks	3
CK99	Aggregate Un-cashed Checks	3

Educational Savings Accounts		Years Dormant
CS01	Educational Savings Accounts Cash	3
CS02	Educational Savings Accounts Mutual Funds	3
CS03	Educational Savings Accounts Securities	3

Court Deposits		Years Dormant
CT01	Escrow Funds	3
CT02	Condemnation Awards	3
CT03	Missing Heirs' Funds	3
CT04	Suspense Accounts	3
CT05	Other Court Deposits	3
CT99	Aggregate Court Deposit	3

Health Savings Accounts		Years Dormant
HS01	Health Savings Account	3
HS02	Health Savings Account Investment	3

Insurance		Years Dormant
IN01	Individual Policy Benefits or Claim Payments	3
IN02	Group Policy Benefits or Claim Payments	3
IN03	Proceeds Due Beneficiaries	3
IN04	Proceeds from Matured Policies, Endowments, or Annuities	3
IN05	Premium Refunds	3
IN06	Unidentified Remittances	3
IN07	Other Amounts Due Under Policy Terms	3
IN08	Agent Credit Balances	3
IN99	Aggregate Insurance Property	3

Standard NAUPA II Electronic File Format Codes (Continued)

IRAs		Years Dormant
IR01	IRA (Traditional, SEP SARSEP, and SIMPLE) Cash	3
IR02	IRA (Traditional, SEP SARSEP, and SIMPLE) Mutual Funds	3
IR03	IRA (Traditional, SEP SARSEP, and SIMPLE) Securities	3
IR05	Roth IRA Cash	3
IR06	Roth IRA Mutual Funds	3
IR07	Roth IRA Securities	3

Mineral Interest/Proceeds		Years Dormant
MI01	Net Revenue Interest	3
MI02	Royalties	3
MI03	Overriding Royalties	3
MI04	Production Payments	3
MI05	Working Interest	3
MI06	Bonuses	3
MI07	Delay Rentals	3
MI08	Shut-in Royalties	3
MI09	Minimum Royalties	3
MI99	Aggregate Mineral Proceeds	3

Miscellaneous		Years Dormant
MS01	Wages, Payroll, Salary	1
MS02	Commissions	1
MS03	Worker's Compensation Benefits	3
MS04	Payment for Goods & Services	3
MS05	Customer Overpayments	3
MS06	Unidentified Remittances	3
MS07	Un-refunded Overcharges	3
MS08	Accounts Payable	3
MS09	Credit Balance—Accounts Receivable	3
MS10	Discounts Due	3
MS11	Refunds Due	3
MS12	Unredeemed Gift Certificates	3
MS13	Unclaimed Loan Collateral	3
MS14	Pension & Profit Sharing	3
MS15	Dissolution or Liquidation	6m
MS16	Misc. Outstanding Checks	3
MS17	Misc. Intangible Property	3
MS18	Suspense Liabilities	3
MS99	Aggregate Misc. Property	3

Share-Related		Years Dormant
SC01	Dividends	3
SC02	Interest (Bond Coupons)	3
SC03	Principal Payments	3
SC04	Equity Payments	3
SC05	Profits	3
SC06	Funds Paid to Purchase Shares	3
SC07	Funds for Stocks and Bonds	3
SC08	Shares of Stock (Returned by Post Office)	3
SC09	Cash for Fraction Shares	3
SC10	Un-exchanged Stock of Successor Corp.	3
SC11	Other Certificate of Ownership	3
SC12	Underlying Shares or Other Outstanding Certificates	3
SC13	Funds for Liquidation/Redemption of Un-surrendered Stock or Bonds	3
SC14	Debentures	3
SC15	U.S. Government Securities/Bonds	3
SC16	Mutual Funds Shares	3
SC17	Warrant (Rights)	3
SC18	Mature Bond Principal	3
SC19	Dividend Reinvestment Plans	3
SC20	Credit Balances	3
SC50	Municipal Bonds	3
SC52	Municipal Bond Earnings	3
SC54	Shares Exchanged for Shares	3
SC56	Units	3

Tangible Property		Years Dormant
SD01	Safe Deposit Box Contents	3
SD02	Other Safekeeping	3
SD03	Other Tangible Property	3

Standard NAUPA II Electronic File Format Codes (Continued)

Trust Properties		Years Dormant
TR01	Paying Agent Account	3
TR02	Undelivered or Un-cashed Dividends	3
TR03	Funds held in Fiduciary Capacity	3
TR04	Escrow Accounts	3
TR05	Trust Vouchers	3
TR89	Interest on Lawyers' Trust Account (IOLTA)	3
TR99	Aggregate Trust Property	3

Utilities		Years Dormant
UT01	Utility Deposits	3
UT02	Membership Fees	3
UT03	Refunds or Rebates	3
UT04	Capital Credit Distributions	3
UT99	Aggregate Utilities	3

All Others		Years Dormant
ZZZZ	Properties Not Identified Above	3

Safekeeping Items	
BOND	Savings Bond
BRAC	Bracelet
CHRM	Charm
CNBK	US Coin Books
COIN	US Coins
COMM	Commemorative Items
CSET	US Coin Sets
CTFT	Counterfeit Items
CUFF	Cufflink
EARR	Earrings
FLAT	Flatware/Serving Pieces
FMIL	Foreign Military Items
FOBK	Foreign Coin Books/Sets
FOCO	Foreign Coins
FOCU	Foreign Currency
FOGC	Foreign Gold Coins
FOPC	Foreign Platinum Coin Sets
GBAR	Gold Bar

Safekeeping Items	
GEMS	Gem Stones- Loose
GOCO	Gold, Silver, Platinum Coins
HM	Hazardous Materials
JEWL	Jewelry – Other
LDOD	No-Value Legal Documents
MED	No-Value Media (Photos, CDs, Videos)
MIL	US Military Items
MISC	Miscellaneous Items of Value
MPAP	No-Value Miscellaneous Papers
NECK	Necklace
NVOT	No-Value Other Tangibles (Misc.)
ODOC	No-Value Other Documents
PCOL	Paper Collectibles/Books/Etc.
PIN	Pin
RING	Ring
SBAR	Silver or Platinum Bars
SPRT	Sports Memorabilia
STCK	Stock Certificates
STMP	Stamps
TCC	Travelers/Cashier's Checks
TIME	Time Piece
USCU	US Currency – All
USGC	US Gold Coins
USGS	US Gold Sets
USPC	US Platinum Coin Sets
VS	No-Value Vital Statistics
WCOL	Collectible Weapons

Other Codes

Addition Codes	
DV	Dividends Earned
ER	Reported Error on Advertising Report
IN	Interest Earned
ME	Additional Royalty or other Mineral Interest Payments
SP	Stock Split

Standard NAUPA II Electronic File Format Codes (Continued)

Deduction and Withholding Codes	
SW	Service Charge
IW	Interest Withheld or Discontinued
TW	Income Tax Withheld
DW	Dividends Withheld or Discontinued
MC	Mailing Cost

Relationship Type Codes (Continued)	
PA	Payee
PO	Power of Attorney
RE	Remitter
UG	Uniform Gift to Minors Act (UGMA)
GR	Guardian For

Paid or Deletion Codes	
RO	Returned to Owner
ER	Reported Error on Advertising Report
RS	Reverse Stock Split

Security Type Codes	
DTC	Direct Transfer
PHYSICAL	Physical Certificate Delivery
ACCOUNT	Registration of Account Only
UNT	Securities Not Transferrable

Owner Type Codes	
OT	All Owners except Aggregate or Unknown
UN	Unknown Owner (No Name Available)
AG	Aggregate Owner
DP	Disputed Owner

Relationship Type Codes	
SO	Sole Owner
AD	Administrator
AF	Attorney For
AG	Agent For
AN	And
BF	Beneficiary
DP	Disputed Funds
OR	Or
AO	And/or
CC	Co-Conservator
CF	Custodian For
CN	Conservator
FB	For Benefit Of
IN	Insured
TE	As Trustee For
EX	Executor or Executrix
JT	Joint Tenants with Rights of Survivorship